

Share Handling Regulations

Daiichi Sankyo Company, Limited

This is an English translation for reference only; the original is in Japanese.
In the event of any discrepancy between the Japanese version and the English version, the Japanese version shall prevail.

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Chapter 1 General Provisions

Article 1. Purpose

- 1.1 The Company's handling of shares and share acquisition rights (including procedures for shareholders to exercise their rights) shall be in accordance with the Articles of Incorporation in addition to the provisions of these Regulations, as stipulated by the Japan Securities Depository Center, Inc. (hereinafter referred to as "JASDEC"), as well as Securities Companies and trust banks, etc. (hereinafter referred to as the "Securities Companies, Etc.") as account management institutions.
- 1.2 The handling of special accounts opened pursuant to agreements between the Company and trust banks designated by the Company and the procedures, etc., for the exercise of shareholders' rights shall be in accordance with the provisions of the relevant trust banks, in addition to the provisions of these Regulations.

Article 2. Administrator of Register of Shareholders

- 2.1 The Company's administrator of Register of Shareholders and its handling office shall be as follows.
Administrator of Register of Shareholders
Mitsubishi UFJ Trust and Banking Corporation, 1-4-5, Marunouchi, Chiyoda-ku, Tokyo
Administration Office
Share Transfer Agency Department,
Mitsubishi UFJ Trust and Banking Corporation, 1-4-5,
Marunouchi, Chiyoda-ku, Tokyo

Article 3. Request or Notification

- 3.1 Any request or notification to the Company shall be made in the form prescribed by the Company. However, this shall not apply in cases where the request or notification is made via Securities Companies, Etc. or JASDEC, and in the cases specified in Article 25, Paragraph 1.
- 3.2 When the request or notification in the preceding paragraph is made by a representative, a written document evidencing the representative's authority of representation shall be submitted. When the consent of a conservator or assistant is required, a written document evidencing their consent shall be submitted.
- 3.3 The Company may treat a request or notification under Paragraph 1 as having been made by a shareholder if it is made by or through Securities Companies, Etc., or JASDEC.
- 3.4 The Company may require any person who has made a request or notification under Paragraph 1 to submit documents proving that such person is a shareholder or proxy.
- 3.5 The Company shall not accept any request or notification under Paragraph 1 unless and until the documents specified in the preceding Paragraph are submitted.

Article 4. Changes to the Regulations

- 4.1 Changes to these Regulations shall be made by resolution of the Board of Directors.

Chapter 2 Recording in the Register of Shareholders, etc.

Article 5. Recording in the Register of Shareholders

- 5.1 The Company shall record the names of shareholders in accordance with the notice of all shareholders in the Register of Shareholders received from JASDEC.
- 5.2 If the Company receives a notice of change of address or other notice of change in the Register of Shareholders of a person recorded in the Register of Shareholders (hereinafter referred to as "Shareholders, Etc."), the Company shall change the record in the Register of Shareholders in accordance with the said notice.
- 5.3 In addition to the two preceding paragraphs, the Company shall record in the Register of Shareholders when new shares are issued or when otherwise required by law.

Article 6. Characters, Etc., to be used in the Register of Shareholders

- 6.1 The Register of Shareholders of the Company shall be recorded in accordance with the letters and symbols designated by JASDEC.

Article 7. Entries or Records, Etc., in the Register of Share Acquisition Rights

- 7.1 Requests for entry or recording in the Register of Share Acquisition Rights, registration, transfer or cancellation of pledge on share acquisition rights, or indication or cancellation of trust assets shall be made to the administrator of Register of Shareholders.
- 7.2 In addition to the preceding paragraph, the handling of share acquisition rights may be determined separately.

Chapter 3 Miscellaneous Provisions

Article 8. Notification of Addresses and Names of Shareholders, Etc.

- 8.1 Shareholders, Etc. shall notify the Company of their address and name.
- 8.2 Notification or changes in the preceding paragraph shall be notified via Securities Companies, Etc., and JASDEC. However, this shall not apply in the cases specified in Article 5, Paragraph 3.

Article 9. Notification of Foreign Resident Shareholders, Etc.

- 9.1 Shareholders, Etc., residing outside Japan shall appoint a standing proxy in Japan or appoint a place in Japan where they can receive notice of such appointment or notice and notify the Company of such appointment or notice.
- 9.2 Standing proxies shall be included in the Shareholders, Etc., as defined in Paragraph 1 of the preceding Article.
- 9.3 Notification or changes to the information in Paragraph 1 shall be submitted through Securities Companies, etc. and JASDEC. However, this shall not apply in the cases specified in Article 5, Paragraph 3.

Article 10. Legal Representative

- 10.1 If there is a legal representative such as a person with parental authority or a guardian, the address and name of the legal representative shall be notified.
- 10.2 Notification, change or cancellation of the preceding paragraph shall be notified through Securities Companies, Etc., and JASDEC. However, this shall not apply in the cases specified in Article 5, Paragraph 3.

Article 11. Representative for Co-owned Shares

- 11.1 Shareholders who hold co-owned shares shall designate one representative and notify his or her address and name.
- 11.2 Notification or changes in the preceding paragraph shall be submitted through Securities Companies, Etc., and JASDEC. However, this shall not apply in the cases specified in Article 5, Paragraph 3.

Article 12. Corporate Representative

- 12.1 If the Shareholder, Etc., is a corporation, it shall notify the name and title of one of its representatives.
- 12.2 Notification or changes in the preceding paragraph shall be submitted through Securities Companies, Etc., and JASDEC. However, this shall not apply in the cases specified in Article 5, Paragraph 3.

Article 13. Other Notifications

- 13.1 In addition to the filings stipulated in Articles 8 through Article 12, any other filings with the Company shall be made through Securities Companies, Etc., and JASDEC, or through Securities Companies, Etc., unless otherwise specified by the Company. However, this shall not apply in the cases specified in Article 5, Paragraph 3.
- 13.2 Notifications that cannot be received or acted upon by Securities Companies, Etc., shall be submitted to the

Administrator of the Register of Shareholders.

Article 14. Matters to be Notified by Holders of Share Acquisition Rights, Etc.

- 14.1 The provisions of Articles 8 through Article 13 shall apply mutatis mutandis to the matters to be notified of persons to be entered or recorded in the Company's Register of Share Acquisition Rights and the method of such notification. However, unless otherwise provided for in Article 7, Paragraph 2, the reporting address shall be the Administrator of the Register of Shareholders.

Chapter 4 Purchase of Odd-lot Shares

Article 15. Method of Requesting Purchase

- 15.1 Requests for the purchase of odd-lot shares shall be made through Securities Companies, Etc., and JASDEC in accordance with the procedures prescribed by JASDEC.

Article 16. Determination of Purchase Price

- 16.1 The unit price for the purchase of odd-lot shares shall be the final price on the Tokyo Stock Exchange on the date when the request as described in the preceding Article reaches the handling office of the Administrator of the Register of Shareholders as provided in Article 2. However, if no transaction is executed on that day, the price shall be the price at which the first transaction is executed after that day.
- 16.2 The purchase price shall be the amount obtained by multiplying the unit purchase price pursuant to the preceding paragraph by the number of shares requested to be purchased.

Article 17. Payment of Purchase Price

- 17.1 The Company shall pay the purchase price calculated in accordance with the preceding article to the claimant on the fourth business day following the date of determination of the purchase price in accordance with JASDEC, unless otherwise determined by the Company.
- 17.2 In the case of the preceding paragraph, if the purchase price is a dividend of surplus, share split, or other rights price, it shall be paid by the record date.

Article 18. Transfer of Purchased Shares

- 18.1 Odd-lot shares for which a purchase request has been received shall be transferred to the Company's account on the date on which the Company completes the procedures for payment of the purchase price pursuant to the preceding Article.

Chapter 5 Additional Purchase of Odd-lot Shares

Article 19. Method of Request for Additional Purchase

- 19.1 When a shareholder holding odd-lot shares makes a request to sell the number of shares that, together with the number of odd-lot shares held by the shareholder, constitute one unit (hereinafter referred to as a "Request for Additional Purchase"), the request shall be made through Securities Companies, Etc., and JASDEC as specified by JASDEC.

Article 20. Limitation on Request for Additional Purchase Price

- 20.1 If the total number of shares subject to Request for Additional Purchase on the same day exceeds the number of own shares held for the purpose of the request for purchase, the Request for Additional Purchase shall not become effective.

Article 21. Effective Date of Request for Additional Purchase

- 21.1 A Request for Additional Purchase shall become effective on the date when the purchase request set forth in Article 19 arrives at the administration office of the Administrator of the Register of Shareholders set forth in Article 2.

Article 22. Determination of Purchase Price

- 22.1 The unit price for the purchase of odd-lot shares shall be the closing price on the Tokyo Stock Exchange on the effective date of the Request for Additional Purchase. However, if no transaction was executed on that day, the price shall be the price at which the first subsequent transaction was executed.
- 22.2 The purchase price shall be the amount obtained by multiplying the unit price for the purchase of additional shares in accordance with the preceding paragraph by the number of shares subject to Request for Additional Purchase.

Article 23. Suspension of Acceptance of Requests for Additional Purchase

- 23.1 The Company shall suspend accepting Requests for Additional Purchase for the period from the date 10 business days prior to the date specified in each of the following items to the date specified in such items.
- (1) March 31
 - (2) September 30
 - (3) Other shareholder fixed dates
- 23.2 In addition to the preceding paragraph, the Company or JASDEC may, if it deems necessary, suspend the acceptance of Requests for Additional Purchase.

Article 24. Timing of Transfer of Additional Purchased Shares

- 24.1 The Company shall apply for the transfer of odd-lot shares for which a Request for Additional Purchase has been received to the account of the shareholder who made the Request for Additional Purchase on the date on which the Company confirms that the purchase price has been transferred to the Company's designated bank account.

Chapter 6 Exercise of Rights of Minority Shareholders, Etc.

Article 25. Method of Exercise of Rights of Minority Shareholders, Etc.

- 25.1 When minority shareholder rights, etc., stipulated in Article 147, Paragraph 4 of the Act on Book-Entry Transfer of Corporate Bonds and Shares (hereinafter referred to as the "Book-Entry Transfer Act") are directly exercised, an individual shareholder notice (meaning the notice provided in Article 154, Paragraph 3 of the Book-Entry Transfer Act) shall be submitted in writing with a signature and seal. However, a foreigner may substitute his/her name and seal with his/her signature.
- 25.2 Paragraphs 2, 4 and 5 of Article 3 shall apply to the exercise of minority shareholders' rights, etc. in the preceding paragraph.

Article 26. Fees and Charges for the Reference Documents for the Shareholders Meeting

- 26.1 If a shareholder's right to make a proposal is exercised as provided for in Paragraph 1 of the preceding Article, a summary of the proposal may be included in the Reference Documents for the Shareholders Meeting if the number of characters for the submitted proposal exceeds the number of characters described below.
- (1) Reasons for the proposal
400 characters per agenda item
 - (2) Matters concerning the election of Directors, Audit & Supervisory Board Members and accounting auditors: 400 characters for each candidate

Chapter 7 Fees

Article 27. Fees

- 27.1 There shall be no handling fees for shares of the Company.

Supplementary Provisions

Article 1. Department in Charge

1.1 The Investor Relations and Shareholder Relations Department shall be the primary office in charge of these Regulations.

Article 2. Type of Rule

2.1 These Regulations shall fall under Code of Governance.

Article 3. Effective Date

3.1 These Regulations shall come into effect on September 28, 2005.

Amended on June 29, 2006

Amended on January 5, 2009

Amended on January 6, 2010

Amended on January 29, 2010

Amended on August 30, 2022

Amended on April 1, 2026